



First Trust Retirement TRANSFER/ROLLOVER REQUEST

Forward To: First Trust Retirement, c/o DST Systems, Inc.

Regular Mail

PO Box 219095
Kansas City, MO 64121-9095
855-661-0250

Overnight Delivery

Mail Stop: FS Investments
430 West 7th Street
Kansas City, MO 64105-1407

Please Print or Type

Please complete a subscription document for the desired fund(s) and return it with the Transfer/Rollover Request form. The minimum initial investment amount is \$5,000. The minimum additional investment amount is \$500.

Step 1: NAME & ADDRESS

IRA Owner Name Social Security Number Existing FS Account Number (If known)

Address City / State / Zip Phone Number

Step 2: CURRENT CUSTODIAN NAME & ADDRESS

Funds are staying with First Trust Retirement as Custodian, changing investments only. (Please provide investment name & account number.)

Current Custodian Name Current Custodian Phone Number Current Investment Name/Fund Number

Current Custodian Address City / State / Zip Account # to be transferred

Please include a copy of your current statement.

Step 3: TYPE OF TRANSFER / ROLLOVER

Transfer from Traditional IRA

Transfer from SEP IRA

Transfer from Roth IRA

Rollover from a Qualified Plan, SIMPLE IRA, 401(k), 403(b) or Profit Sharing Plan*

*Your employer may require additional forms to process your request.

Are any of these funds/assets from an Inherited Account?

Yes No

Custodian specific form included. FTR will include with the Letter of Acceptance sent to resigning custodian. (This alerts FTR to include required additional documentation.)

Step 4: TRANSFER INSTRUCTIONS TO CURRENT CUSTODIAN (check all that apply)

Transfer Account listed in Step 2 and liquidate additional cash proceeds (If applicable). Select this option for moving assets out of the current investment, either to First Trust Retirement (FTR) as a new custodian or staying with FTR. (Issue a check payable to First Trust Retirement.)

Amount to liquidate: All Partial liquidation of \$ _____

Transfer in Kind Account listed in Step 2 and liquidate additional cash proceeds (If applicable). Select this option for a Transfer in Kind staying with the current investment that is changing custodians only. (Issue a check payable to First Trust Retirement.)

Amount to transfer: All Partial transfer of \$ _____

Transfer funds from existing Morrill & Janes Bank and Trust NOW Account.

Amount to transfer: All Partial liquidation of \$ _____

Step 5: SIGNATURE REQUIRED

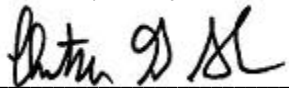
IRA Owner Signature

Date

Medallion Signature Guarantee if required by
Current Custodian Listed in Step 2

FOR INTERNAL USE ONLY

Acceptance of Rollover/Transfer/Conversion by First Trust Retirement:

By: 

First Trust Retirement Authorized Signature

Date